

Ref. No.:

SECURITIES / INVESTMENT PRODUCTS SETTLEMENT INSTRUCTIONS
证券 / 投资产品交收指示

Attn: Settlement Department

(CCASS ID: B01130)

收件人:交收部

Tel No. / 电话号码: 2867-6684 / 2867-6615

Fax No. / 传真号码: 2185-6060 / 2868-2250

Account Name:

户口名称:

Account No.:

户口号码:

 Please receive the below securities / investment products for my/our account
 请将下列证券 / 投资产品接收到本人 / 吾等户口

 Please deliver the below securities / investment products from my/our account
 请将下列证券 / 投资产品由本人 / 吾等户口交出

and input the following Settlement Instruction (SI) via BOCI Securities Limited into the relevant clearing system with the details as follows: 并根据下列资料将交收指示透过中银国际证券有限公司输入有关结算系统。

Counterparty Name:

交收对手:

Counterparty Contact Person Name:

交收对手联系人姓名:

Counterparty Contact Person Tel No.:

交收对手联系人联系电话:

Customer Account No. in Counterparty:

客户在交收对手之户口号码:

Customer Account Name in Counterparty:

客户在交收对手之户口名称:

Value Date:

执行日期:

 H.K. Market 香港市场

 Counterparty CCASS Participant ID:
 交收对手之中央结算系统参与者编号:

 U.S. Market 美国市场

 Foreign Market 国外市场

Counterparty Clearing No.: 交收对手之结算编号:

 Investment Product 投资产品

 Counterparty Clearstream/Euroclear No.:
 交收对手之 Clearstream/Euroclear 编号:

 Name of Stock/Investment Product
 股票 / 投资产品名称

 Stock/ISIN Code
 股票 / ISIN 代号

 Quantity
 数量

Payment Instructions* 付款指示*

 Please select ONE of the two choices in the right box.
 请选择右框内其中一种付款方式

* "Free of Payment" will assume to be the default payment instruction if neither choice in the right box was selected.

*如没有选择右框内的付款方式,本公司将视做为“无需付款交收”

Please debit my/our account for all charges in relation to the settlement instructions.

请於本人 / 吾等户口扣除有关交收费用。

 Free of Payment
 无需付款交收

 Delivery/Receipt Versus Payment (DVP/RVP)
 货银对付交收

Money Consideration for DVP/RVP 交收金额

 HKD/USD:
 港币 / 美元:

 Customer's Signature(s)
 客户签署

 Date
 日期