

股票转户:

如果要存放于其他证券公司或银行之股票转户至中银国际证券有限公司(「中银国际」)的户口, 阁下必须:

- 1) 填妥中银国际之“证券 / 投资产品交收指示”(「表格」);
- 2) 所有户口持有人, 均须于表格内签署;
- 3) 把表格寄回或传真至:
香港皇后大道中 181 号 新纪元广场 22 楼 中银国际证券有限公司 业务营运部收
传真号码: (852) 2185 6060
- 4) 同时, 阁下亦须要通知原有证券公司或银行将股票转至中银国际, 转户指示如下:

香港股票托管商名称: 香港中央结算有限公司 中央结算系统编号: B01130 参与者名称: 中银国际证券有限公司 受益人: (阁下之姓名及阁下在中银国际的证券户口号码)
美国股票托管商名称: Pershing LLC DTC 及 OCC 结算编号: 0443 户口名称: BOCI Securities Limited 户口号码: 74H-000247-1 受益人: (阁下之姓名及阁下在中银国际的证券户口号码)

注意事项:

- 如阁下要将多于一间证券公司或银行的户口内之证券转至中银国际, 请分别填写不同的表格;
- 原有证券公司或银行之户口登记姓名必须与阁下在中银国际户口之登记姓名相同;
- 阁下可以附上该证券公司或银行最近发出之月结单副本, 以供参考;
- 美国股票的转户, 将会在中银国际收到表格后的下一个工作日进行;
- 当我们收妥股票后, 会尽快将其存入阁下的中银国际户口;
- 当股票完成转户手续, 阁下才可以卖出证券。

Transfer of Securities

To transfer securities from another securities firm/bank (“Outside Broker”) to your account in BOCI Securities Limited (“BOCIS”), please

- 1) complete the Securities / Investment Products Settlement Instructions (“Form”) of BOCIS;
 - 2) sign the Form by all the account holders;
 - 3) mail or fax the duly completed and signed Form to:
BOCI Securities Limited, 22/F, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
Attn: Business Operations Division Fax: (852) 2185 6060
- 1) advise the Outside Broker of the intended share transfer to BOCIS. The receiving instruction for the transfer is as follows:

Hong Kong Securities Custodian Name: HKSCC CCASS Participant ID: B01130 Participant Name: BOCI Securities Limited In favor of: (your account name and your securities a/c no. at BOCIS)
U.S. Securities Custodian Name: Pershing LLC DTC and OCC Clearing No.: 0443 Account Name: BOCI Securities Limited Account Number: 74H-000247-1 In favor of: (your account name and your securities a/c no. at BOCIS)

Remarks:

- please submit a separate Form for each Outside Broker from which you are transferring securities;
- the account name with Outside Broker must be identical to your account name with BOCIS;
- you may send us a copy of your latest Statement of Account from the Outside Broker for our reference;
- share transfer of US stock will take place on the next business day after receipt of the Form by BOCIS;
- the transfer of securities will be reflected in your BOCIS account once the process is completed;
- you may only sell the securities after the securities has been reflected in your BOCIS account.