

To: BOCI Securities Limited  
Business Operations Division

**Opening of Additional Sub-account for Robo Investment Advisory Service**

I am/ We are the existing customer(s) of BOCI Securities Limited (“BOCIS”), below please find the details of the account(s) that I/ we currently hold (“the Account(s)”):

Account Name: \_\_\_\_\_

Account Number(s): \_\_\_\_\_

1. I/ We hereby request BOCIS to *continue to maintain and/or open* a sub-account (account suffix: 3000) (“RIA Account”) for providing the robo investment advisory service to me/ us (“RIA Service”).

2. Online Trading Service:

I/ We agree that the current online login ID and password shall apply to the RIA Account.

I/ We hereby confirm that I/ we have not previously applied for online trading service. I/ We would like to apply for online trading service for the Account(s) including the RIA Account and other sub-accounts. Below please find my / our choice of login IDs for the online trading service.

(Please provide 6 to 15 numbers or alphabets. Do not include space or symbol.)

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

3. For the purpose of opening the RIA Account, I/ we hereby authorize BOCIS to use the information previously provided by me/ us in relation to the Account(s) (“Information”). I/ we confirm that the Information is accurate and up-to-date.

4. Customer’s Declaration:

Prior to signing this application form, please:

- note that all transactions to be concluded through the RIA Service shall be subject to the terms and conditions of the Customer Agreement of BOCIS, the Robo Investment Advisory Supplemental Terms (including the Risk Disclosure Statement annexed thereto) (“RIA Terms”) and the terms of use of the mobile applications operated by BOCIS through which you may access the RIA Service and/or any of BOCIS’ websites through which information regarding the RIA Service is made available to you (“Mobile Terms”) (collectively, “Applicable Terms”); and
- read the Applicable Terms which you have been provided in a language (English or Chinese) of your choice carefully, ask questions and take independent advice if you so wish.

By signing this application form, I/we confirm that:

- (a) I/we have the Customer Agreement of BOCIS and the Mobile Terms which I/we have previously been provided with and do not require another copy. I/We understand that I am / we are entitled to request a further copy of the Customer Agreement of BOCIS and the Mobile Terms at any time from BOCIS and/or I/we can access them at any time on the website of BOCIS;
- (b) the Applicable Terms (including the RIA Terms) have been provided to me /us in a language of my/our choice;
- (c) I/we have been invited to read the Applicable Terms (including the RIA Terms) carefully, , to ask questions and take independent advice if I/we so wish;
- (d) I/we have carefully read, fully understood and accepted the Applicable Terms (including the RIA Terms) and agree to be bound by them. I understand and agree that BOCIS may at any time at its sole discretion vary the Applicable Terms upon giving me/us notice;
- (e) I/we accept the responsibility to pay the RIA Fees (as defined in the RIA Terms) and all other fees, charges, expenses and levies which may be imposed in relation to the RIA Service from time to time. I/We hereby authorise BOCIS to withdraw the RIA Fees from the Account(s) (including, without limitation, the RIA Account) and/or to deduct the same from the proceeds of any deposit of monies received for or on the Account(s) in accordance with the Applicable Terms; and
- (f) I/We understand and agree that a prescribed percentage of the daily average asset under management in the RIA Account (currently, at \_\_\_\_\_% per annum) will be charged as the RIA Fees. I further acknowledge and agree that BOCIS has the right to change or vary the RIA Fees from time to time in accordance with the Applicable Terms.

\_\_\_\_\_  
Client's Signature

\_\_\_\_\_  
Date

For Internal Use Only		
AE/ RM Name  (Code:            )	AE/ RM Signature	Team Head Signature