

To: BOCIS Securities Limited ("BOCIS")  
Business Operations Department  
22/F, Grand Millennium Plaza  
181 Queen's Road Central, Hong Kong

Fax: (852) 2907-0402

致: 中銀國際證券有限公司 ("中銀國際證券")  
業務營運部  
香港皇后大道中 181 號  
新紀元廣場 22 樓

**Physical Scrip Withdrawal Form 股票實貨提取表格**

BOCIS Account Name:

中銀國際證券戶口名稱 : \_\_\_\_\_

BOCIS Account Number:

中銀國際證券戶口號碼 : \_\_\_\_\_

I hereby instruct BOCIS to withdraw the below-mentioned securities in physical scrip from my/our account on \_\_\_\_\_ (value date) and debit my/our account for all charges in relation to such withdrawal of securities.

本人/吾等現指示中銀國際證券於\_\_\_\_\_年\_\_\_\_\_月\_\_\_\_\_日 (生效日期)由本人/吾等戶口提取下述股票實貨，並於本人/吾等戶口扣除有關提取股票的全部費用。

**Details of the securities for withdrawal (Please tick ☒ the appropriate box(es).)**

提取股票資料 (請於適當空格內加上剔號 ☒。)

| Name of Securities<br>股票名稱 | Stock Code<br>股票編號 | Quantity<br>股數 |
|----------------------------|--------------------|----------------|
|                            |                    |                |
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|                            |                    |                |

☐ I/We collect the above-mentioned securities in physical scrip myself/ourselves.

本人/吾等親自領取上述股票實貨。

☐ I/We hereby authorize the below-mentioned third party to collect the above-mentioned securities in physical scrip on my/our behalf\* and confirm that the below-mentioned third party has consented to my/our disclosure of his/her personal information to BOCIS.

本人/吾等現授權下述第三者代表本人/吾等領取以上述股票實貨\*，且確認下述授權人已同意本人/吾等向中銀國際證券披露其個人資料。

|                                        |                                                                                                                                                      |
|----------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| Information of Authorized Person 授權人資料 |                                                                                                                                                      |
| Name<br>姓名                             |                                                                                                                                                      |
| Type of Identity Document<br>身份證明文件類別  | <input type="checkbox"/> Identity Card 身份證<br><input type="checkbox"/> Passport 護照<br><input type="checkbox"/> Other (Please specify) 其他 (請註明) _____ |
| Issuing Country / Region<br>簽發國家/地區    | <input type="checkbox"/> Hong Kong 香港<br><input type="checkbox"/> China 中國<br><input type="checkbox"/> Other (Please specify) 其他 (請註明) _____         |
| Identity Document Number<br>身份證明文件號碼   |                                                                                                                                                      |

☐ I/We will submit the related authorization letter in original later.\*

本人/吾等會稍後提交相關授權信正本。\*

\* BOCIS is required to contact the individual/joint account holder or authorized person for corporate account holder via tape-recording phone for confirming the related authorization before delivering the physical scrip(s) to the appointed third party. When BOCIS could not complete the aforesaid process, BOCIS has the right not to deliver the physical scrip(s) to the appointed third party.

中銀國際證券須先以錄音電話聯絡個人/聯名帳戶持有人或公司帳戶授權人士確認相關授權，方可將股票實貨交付給指定的第三者。當中銀國際證券未能完成前述步驟，中銀國際證券有權不向指定的第三者交付股票實貨。

# Please submit this form in original to BOCIS.

請向中銀國際證券提交此表格的正本。

**Personal Information Collection Statement****個人資料收集聲明**

I/We acknowledge and agree that BOCIS may collect, store, process, use, disclose and transfer personal data relating to me/us (including my/our CID and BCAN(s)) as required for BOCIS to provide services to me/us in relation to securities listed or traded on the Stock Exchange of Hong Kong (“SEHK”) and for complying with the rules and requirements of SEHK and the Securities and Futures Commission (“SFC”) in effect from time to time. Without limiting the foregoing, this includes:

本人/吾等在此確認並同意，為了向本人/吾等提供與在香港聯合交易所（“聯交所”）上市或買賣的證券相關的服務，以及為了遵守不時生效的聯交所與證券及期貨事務監察委員會（“證監會”）的規則和規定，中銀國際證券可收集、儲存、處理、使用、披露及轉移與本人/吾等有關係的個人資料（包括本人/吾等的客戶識別資訊及券商客戶編碼）。在不限制以上的內容的前提下，當中包括：

- a) disclosing and transferring my/our personal data (including CID and BCAN(s)) to SEHK and/or the SFC in accordance with the rules and requirements of SEHK and the SFC in effect from time to time;  
根據不時生效的聯交所及證監會規則和規定，向聯交所及／或證監會披露及轉移本人/吾等的個人資料（包括客戶識別資訊及券商客戶編碼）；
- b) allowing SEHK to: (i) collect, store, process and use my/our personal data (including CID and BCAN(s)) for market surveillance and monitoring purposes and enforcement of the Rules of the Exchange of SEHK; and (ii) disclose and transfer such information to the relevant regulators and law enforcement agencies in Hong Kong (including, but not limited to, the SFC) so as to facilitate the performance of their statutory functions with respect to the Hong Kong financial markets; and (iii) use such information for conducting analysis for the purposes of market oversight; and  
允許聯交所：(i)收集、儲存、處理及使用本人/吾等的個人資料（包括客戶識別資訊及券商客戶編碼），以便監察和監管市場及執行《聯交所規則》；(ii)向香港相關監管機構和執法機構（包括但不限於證監會）披露及轉移有關資料，以便他們就香港金融市場履行其法定職能；及(iii)為監察市場目的而使用有關資料進行分析；及
- c) allowing the SFC to: (i) collect, store, process and use my/our personal data (including CID and BCAN(s)) for the performance of its statutory functions including monitoring, surveillance and enforcement functions with respect to the Hong Kong financial markets; and (ii) disclose and transfer such information to relevant regulators and law enforcement agencies in Hong Kong in accordance with applicable laws or regulatory requirements.  
允許證監會：(i)收集、儲存、處理及使用本人/吾等的個人資料（包括客戶識別資訊及券商客戶編碼），以便其履行法定職能，包括對香港金融市場的監管、監察及執法職能；及(ii)根據適用法例或監管規定向香港相關監管機構和執法機構披露及轉移有關資料。

Authorized Signatory

簽署

Date

日期

For BOCI Internal Use Only (To be completed by Front Office)

☐ Acknowledged By Relationship Manager / Salesperson

Name and Signature

Date

☐ Confirmation of Client Authorization

Sales Supporting Team

Extension Number with time stamp

Name and Signature

Date

**Authorization Letter** (Authorized person should bring along with **Original Letter**)  
**授權信** (授權代表必須帶同**信件之正本**)

Date 日期 \_\_\_\_\_

To: BOCI Securities Limited ("BOCIS")  
22/F, Grand Millennium Plaza, 181 Queen's Road, Central, Hong Kong

致: 中銀國際證券有限公司 ("中銀國際證券")  
香港皇后大道中181號新紀元廣場22樓

Re: Letter of Authorization - Collection of Physical Share Certificates  
有關領取實物股票證書之授權信

I/We hereby authorize the below-mentioned third party to collect the below-mentioned securities in physical scrip on my/our behalf and confirm that the below-mentioned third party has consented to my/our disclosure of his/her personal information to BOCIS.

本人/吾等現授權下述第三者代表本人/吾等領取以下述股票實貨，且確認下述授權人已同意本人/吾等向中銀國際證券披露其個人資料。

| Information of Authorized Person 授權人資料 |   |                                                                                                                                                      |
|----------------------------------------|---|------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name<br>姓名                             | : |                                                                                                                                                      |
| Type of Identity Document<br>身份證明文件類別  | : | <input type="checkbox"/> Identity Card 身份證<br><input type="checkbox"/> Passport 護照<br><input type="checkbox"/> Other (Please specify) 其他 (請註明) _____ |
| Issuing Country / Region<br>簽發國家/地區    | : | <input type="checkbox"/> Hong Kong 香港<br><input type="checkbox"/> China 中國<br><input type="checkbox"/> Other (Please specify) 其他 (請註明) _____         |
| Identity Document Number<br>身份證明文件號碼   | : |                                                                                                                                                      |

| Name of Securities<br>股票名稱 | Stock Code<br>股票編號 | Quantity<br>股數 |
|----------------------------|--------------------|----------------|
|                            |                    |                |
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|                            |                    |                |

\_\_\_\_\_  
Signature 簽署

Account Name 帳戶姓名: \_\_\_\_\_

Account No.: 帳戶號碼: \_\_\_\_\_

| For BOCI Internal Use Only (To be completed by Front Office)                |                       |      |
|-----------------------------------------------------------------------------|-----------------------|------|
| <input type="checkbox"/> Acknowledged By Relationship Manager / Salesperson |                       |      |
| Name and Signature                                                          |                       | Date |
| <input type="checkbox"/> Confirmation of Client Authorization               | Sales Supporting Team |      |
| Extension Number with time stamp                                            | Name and Signature    | Date |